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SEP 0 2 2003 FEE T

## FEE TRANSMITTAL for FY 2003

1/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

(\$)55.00

s are required to respond to a c	ollection of information unless it displays a valid OMB control number				
Complete if Known					
Application Number	09/458,299				
Filing Date	December 10, 1999				
First Named Inventor	John FIKES				
Examiner Name	DiBrino, Marianne NMN				
Art Unit	1644				
Attorney Docket No.	2060.0140000/EKS/HCC/M-M				

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
☐ Check 🎗 Credit card ☐ Money Order 🛱 Other** ☐ None  "Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036		3. ADDITIONAL FEES					
		Large Entity Small Entity					
Deposit Account Deposit Account Number 19-0036	Fee Code	Fee Fee (\$) Co			Fee Description	Fee Paid	
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.		130	2051	65	Surcharge - late filing fee or oath		
The Commissioner is authorized to: (check all that apply)		50	2052	25	Surcharge-late provisional filing fee or cover		
☐ Charge fee(s) indicated below ☐ Credit any over payments		130	1053	130	Non-English specification		
☐ Charge any additional fee(s) during the pendency of this		2,520			For filing a request for ex parte reexamination		
application	1812	920*	1804	920*	Requesting publication of SIR prior to Examiner		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1004	320	1.004	3 <b>2</b> 0	action		
авоче-поетплей феровії ассоціїї.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
	1251	110	2251	55	Extension for reply within first month	55	
	1252	410	2252	205	Extension for reply within second month		
FEE CALCULATION	1253	930	2253	465	Extension for reply within third month		
1. BASIC FILING FEE	1254	1,450	2254	725	Extension for reply within fourth month		
Large Entity Small Entity	1255	1,970	2255	985	Extension for reply within fifth month		
Fee Fee Fee Fee Description Fee Paid	1401	320	2401	160	Notice of Appeal		
Code (\$) Code (\$)	1402	320	2402	160	Filing a brief in support of an appeal		
1001 750 2001 375 Utility filing fee	1403	280	2403	140	Request for oral hearing		
1003 520 2003 260 Plant filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1004 750 2004 375 Reissue filing fee	1452	110	2452	55	Petition to revive - unavoidable		
1105 160 2005 80 Provisional filing fee	1453		2453	650	Petition to revive - unintentional		
	i		l				
<b>SUBTOTAL (1)</b> (\$) 0.00	1501	1,300	2501	650	Utility issue fee (or reissue)		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Fee from	1502	470	2502	235	Design issue fee		
Extra below Fee Paid	1503	630	2503	315	Plant issue fee		
Total Claims 20** = X =	1460	130	1460	130	Petitions to the Commissioner		
Indep. Claims 3** = X =	1807	50	1807	E0	December for under 27 CED 1 17(a)		
Multiple Dependent =		50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity <sub>i</sub> Small Entity	1806	190	1806	180	Submission of Information Disclosure Stmt		
Fee Fee Fee Fee Description  Code (\$) Code (\$)	8021	40	80 <b>2</b> 1	40	Recording each patent assignment per property (times number of properties)		
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		
1201 84 2201 42 Independent claims in excess of 3	1810	750	2810	375	For each additional invention to be examined		
1203 280 2203 140 Multiple dependent claim, if not paid 1204 84 2204 42 **Reissue independent claims					(37 CFR 1.129(b))		
over original patent	1801	750	2801	375	Request for Continued Examination (RCE)		
1205 18 2205 9 **Reissue claims in excess of 20	1802	9:)0	1802	900	Request for expedited examination of a design application		
and over original patent	Other	Other fee (specify)					
SUBTOTAL (2) SECONO	I						

Attorney Agent

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September 2, 2003

WRITER'S DIRECT NUMBER: (202) 772-8641 INTERNET ADDRESS: HCARLSON & SKGE COM

Commissioner for Patents Washington, D.C. 20231

Art Unit 1644

Re:

U.S. Utility Patent Application

Appl. No. 09/458,299; Filed: December 10, 1999

For: Inducing Cellular Immune Responses to HER2/neu Using Peptide and

**Nucleic Acid Compositions** 

Inventors:

FIKES et al.

Our Ref:

2060.0140000/EKS/HCC/M-M

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Reply to Restriction Requirement:
- 2. Preliminary Amendment Under 37 C.F.R.§ 1.115;
- 3. Petition For Extension of Time Under 37 C.F.R. § 1.136(a)(1):
- 4. PTO Fee Transmittal (Form PTO SB 17);
- 5. Form PTO-2038 Credit Card Payment Form;
- 6. Return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are

Commissioner for Patents September 2, 2003 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Helene C. Carlson
Agent for Applicants
Registration No. 47 47

Registration No. 47,473

Enclosures

::ODMA MHODMA SKGF DC1;174319;1 SKGF Rev. 2 15 02 dcw